

Changes of Executives

Mitsubishi UFJ Morgan Stanley Securities Co., Ltd. hereby announces the following changes of Executives.

1. Changes of Executives

(as of April 1, 2024)

New Position	Current Position	Name
▽Member of the Board of Directors, Senior Managing Executive Officer, CSO (General Managing Officer of Corporate Planning Division), CHRO (General Managing Officer of Human Resources Division)	(Member of the Board of Directors, Managing Executive Officer, CSO (General Managing Officer of Corporate Planning Division, Corporate Communications Division), CHRO (General Managing Officer of Human Resources Division), General Managing Officer of General Affairs Division)	Kenji Horikawa
▽Member of the Board of Directors, Managing Executive Officer	(Member of the Board of Directors, Managing Executive Officer, General Managing Officer of Investment Research Division, Wealth Management Research Division, Research Supervisory & Translation Division, CRO (General Managing Officer of Risk Management Division, Underwriting Review & Due Diligence Division))	Hirochika Iwadare
▽Member of the Board of Directors, Managing Executive Officer, CFO (General Managing Officer of Financial Planning Division), Deputy CSO (Deputy General Managing Officer of Corporate Planning Division)	(Member of the Board of Directors, Managing Executive Officer, CFO (General Managing Officer of Financial Planning Division), Deputy CSO (Deputy General Managing Officer of Corporate Planning Division, Corporate Communications Division))	Shinjiro Yamamoto
▽Advisor	(Managing Executive Officer, General Manager of Main Office, Regional Executive (Higashinohon Area I))	Taizo Ise
▽Advisor	(Managing Executive Officer, Supervisory Executive of Business Promotion Division, Supervisory Executive of Financial Products Sales Division II (Special Assignment))	Toshitaka Onishi
▽Advisor	(Managing Executive Officer, Supervisory Executive of Corporate Clients Division I, Corporate Clients Division II, Corporate Clients Division III, Supervisory Executive of Corporate Clients Sales Division (Special Assignment))	Seishi Watanabe
▽Retiring	(Managing Executive Officer, Supervisory Executive of Wholesale Coverage Division, Kyoto Corporate Clients Division)	Hiroyuki Oda
※ to be appointed as Senior Advisor of IBD		
▽Retiring	(Managing Executive Officer, Supervisory Executive of PB Group, Head of Kyushu Private Banking Group)	Koji Nakajima
※ to be appointed as Chairman of MUS Business Service Co., Ltd. on April 1.		
▽Managing Executive Officer, Resident Executive Officer (Nagoya), Supervisory Executive of Nagoya Corporate Clients Division, Corporate Client Collaboration Division, Corporate Clients Sales Division, Regional Executive (Chubu Area, Higashinohon Area) (Special Assignment)	(Managing Executive Officer, Resident Executive Officer (Nagoya), Supervisory Executive of Nagoya Corporate Clients Division, Supervisory Executive of Corporate Client Collaboration Division (Special Assignment), Supervisory Executive of Corporate Clients Sales Division (Special Assignment), Regional Executive (Chubu Area, Higashinohon Area I, Higashinohon Area II) (Special Assignment))	Yoshiaki Nakajima
▽Managing Executive Officer, Supervisory Executive of Business Promotion Division, Supervisory Executive of Financial Products Sales Division II (Special Assignment)	(Executive Officer, General Manager of Shinjuku Branch, Regional Executive (Higashinohon Area II))	Yoshihiro Ozu
▽Managing Executive Officer, Resident Executive Officer (Osaka), Supervisory Executive of Osaka Corporate Clients Division, Kyoto Corporate Clients Division, Supervisory Executive of Corporate Client Collaboration Division, Corporate Clients Sales Division, Regional Executive (Kinki Area, Nishinohon Area) (Special Assignment)	(Executive Officer, Resident Executive Officer (Osaka), Supervisory Executive of Osaka Corporate Clients Division, Supervisory Executive of Corporate Client Collaboration Division (Special Assignment), Supervisory Executive of Corporate Clients Sales Division (Special Assignment), Regional Executive (Kinki Area, Nishinohon Area) (Special Assignment))	Toshinori Imawaka
▽Managing Executive Officer, General Managing Officer of Corporate Communications & ESG Division, General Affairs Division	(Executive Officer, General Manager of Corporate Communications Division)	Saiko Nanri

▽Managing Executive Officer, General Managing Officer of Investment Research Division, Wealth Management Research Division, Research Supervisory & Translation Division, CRO (General Managing Officer of Risk Management Division, Underwriting Review & Due Diligence Division)	(Executive Officer, General Manager of Risk Management Division)	Tsuyoshi Tsuyuzaki
▽Managing Executive Officer, General Manager of Main Office, Regional Executive (Shutoken Area I)	—	Hiroshi Kanae
▽Managing Executive Officer, Deputy Head of Global Markets Business Unit	(Executive Officer, Deputy Head of Global Markets Business Unit)	Tomoyuki Kamioka
▽Advisor	(Executive Officer, General Manager of Kyoto Branch, Deputy Supervisory Executive of Kyoto Corporate Clients Division)	Yoichi Orikasa
▽Retiring	(Executive Officer, General Manager of Operations & Procedures Planning Division)	Kazuhiro Sugiyama
▽Advisor	(Executive Officer, General Manager of Nagoya Branch, Regional Executive (Chubu Area))	Satoru Matsumura
▽Executive Officer, Supervisory Executive of MUFG Terrace, Promotion Center, Telephone & Online Trade Division, Direct Business Administration Division, Client-portfolio Management Division	(Executive Officer, Supervisory Executive of MUFG Terrace, Promotion Center, Telephone & Online Trade Division, Direct Business Administration Division)	Kentaro Suzuki
▽Advisor	(Executive Officer, Supervisory Executive of Financial Crimes Division, General Manager of Retail & Corporate Business Inspection and Monitoring Division)	Yasuhiro Morishita
▽Executive Officer, Supervisory Executive of Corporate Clients Division I, Corporate Clients Division II, Corporate Clients Division III	(Executive Officer, General Manager of Corporate Clients Division I, Deputy General Manager of Wholesale Coverage Division)	Akira Ryu
▽Executive Officer, Supervisory Executive of PB Group, Client Service Division, PB Group Business Administration Division	(Executive Officer, Supervisory Executive of Investment Advisory Division, Investment Management Division)	Masatomo Odagawa
▽Retiring	(Executive Officer, General Manager of Human Resources Division)	Takahiro Ibe
※ to be appointed as Senior Managing Executive Officer of au Kabucom Securities Co., Ltd. on April 1.		
▽Executive Officer	(Executive Officer, Head of Fixed Income Group, Supervisory Executive of Global Markets Product Division (Special Assignment))	Takahiro Ueyama
▽Executive Officer, Supervisory Executive of Financial Crimes Division, General Manager of Compliance Control Division	(Executive Officer, General Manager of Compliance Control Division)	Mitsuteru Yamane
▽Executive Officer, Deputy General Manager of Compliance Control Division	—	Takayuki Sakurai
▽Executive Officer, Head of Fixed Income & Equity Group	—	Keiichi Akamatsu
(as of June 25, 2024)		

New Position	Current Position	Name
▽Retiring	(Member of the Board of Directors, Managing Executive Officer)	Hirochika Iwadare
※ to be appointed as Advisor of Mitsubishi UFJ Securities Holdings Co., Ltd. on June 26.		
▽Member of the Board of Directors, Managing Executive Officer, General Managing Officer of Investment Research Division, Wealth Management Research Division, Research Supervisory & Translation Division, CRO (General Managing Officer of Risk Management Division, Underwriting Review & Due Diligence Division)	(Managing Executive Officer, General Managing Officer of Investment Research Division, Wealth Management Research Division, Research Supervisory & Translation Division, CRO (General Managing Officer of Risk Management Division, Underwriting Review & Due Diligence Division))	Tsuyoshi Tsuyuzaki
※ subject to election at Shareholders Meeting on June 25.		

▽Retiring	(Full-Time Corporate Auditor)	Hideyuki Sago
※ to be appointed as Advisor of Mitsubishi UFJ Securities Holdings Co., Ltd. on June 26.		
▽Retiring	(Full-Time Corporate Auditor)	Masayuki Tanaka
▽Corporate Auditor	—	Takashi Sakai
※ subject to election at Shareholders Meeting on June 25.		
▽Corporate Auditor	(Executive Officer)	Takahiro Ueyama
※ subject to election at Shareholders Meeting on June 25.		
▽Executive Officer, General Manager of Omiya Branch, Regional Executive (Higashinohon Area)	(General Manager of Omiya Branch)	Yohei Kobayashi
▽Executive Officer, General Manager of Kyoto Branch, Deputy Supervisory Executive of Kyoto Corporate Clients Division	(General Manager of Kyoto Branch, Assistant Executive of Kyoto Corporate Clients Division)	Naoki Maeda
▽Executive Officer, Supervisory Executive of Corporate Client Collaboration Division, Corporate Clients Sales Division, Supervisory Executive of Corporate Business Support Division, Regional Executive (Shutoken Area I, Shutoken Area II, Higashinohon Area) (Special Assignment)	(Assistant Executive of Corporate Business Support Division, Corporate Client Collaboration Division, Corporate Clients Sales Division)	Yoshihiro Furuoka
▽Executive Officer, General Manager of Internal Audit Division	(General Manager of Internal Audit Division)	Hiroko Kubo
▽Executive Officer, General Manager of Retail & Corporate Business Inspection and Monitoring Division	(General Manager of Retail & Corporate Business Inspection and Monitoring Division)	Kazunori Hashimoto
▽Executive Officer, General Manager of Financial Planning Division	(General Manager of Financial Planning Division)	Junzo Hashiba
▽Executive Officer, General Manager of Human Resources Division	(General Manager of Human Resources Division)	Hikaru Umehara
▽Executive Officer, General Manager of Investment Banking Management Division	(General Manager of Investment Banking Management Division)	Masao Sugisawa
▽Executive Officer, General Manager of Shinjuku Branch, Regional Executive (Shutoken Area II)	(General Manager of Shinjuku Branch)	Yukitaka Murakami
▽Executive Officer, General Manager of Nagoya Branch, Regional Executive (Chubu Area)	(General Manager of Nagoya Branch)	Hideo Kiyotake