

**Changes of Executives**

Mitsubishi UFJ Morgan Stanley Securities Co., Ltd. hereby announces the following changes of Executives.

**Changes of Executives**

(as of November 1, 2019)

New Position	Current Position	Name
▽Member of the Board of Directors, Managing Executive Officer, General Managing Officer of Operations & Procedures Planning Division, Operations Division, Transaction Business Administration Division, Compliance Control Division, Financial Crimes Division, Retail & Corporate Business Inspection and Monitoring Division, Wholesale Compliance Division, Legal Division, Chief Compliance Officer	(Member of the Board of Directors, Managing Executive Officer, General Managing Officer of Operations & Procedures Planning Division, Operations Division, Transaction Business Administration Division, Compliance Control Division, Financial Crimes Division, Retail Compliance & Operations Support Division, Wholesale Compliance Division, Legal Division, Chief Compliance Officer)	Akihiro Sugimura
▽Executive Officer, Supervisory Executive of Financial Crimes Division, Supervisory Executive of Compliance Control Division, Retail & Corporate Business Inspection and Monitoring Division (Special Assignment)	(Executive Officer, Supervisory Executive of Financial Crimes Division, Supervisory Executive of Compliance Control Division, Retail Compliance & Operations Support Division (Special Assignment))	Noriyuki Miyata
▽Executive Officer, General Manager of Retail & Corporate Business Inspection and Monitoring Division	(Executive Officer, General Manager of Retail Compliance & Operations Support Division)	Tsunehisa Uehara