

Changes of Executives

Mitsubishi UFJ Morgan Stanley Securities Co., Ltd. hereby announces the following changes of Executives.

1. Changes of Executives

(as of December 1, 2019)

New Position	Current Position	Name
▽Retiring	(Executive Officer, General Manager of Retail & Corporate Business Inspection and Monitoring Division)	Tsunehisa Uehara
▽Member of the Board of Directors, Managing Executive Officer, General Managing Officer of Corporate Planning Division, Corporate Communications Division	(Member of the Board of Directors, Managing Executive Officer, General Managing Officer of Corporate Planning Division, Strategic Alliance Division)	Naoki Isetani
▽Managing Executive Officer, General Managing Officer of Human Resources Division, General Affairs Division	(Managing Executive Officer, General Managing Officer of Human Resources Division, General Affairs Division, Corporate Communications Division)	Tetsuro Shinohara
▽Executive Officer, Supervisory Executive of Financial Crimes Division, Supervisory Executive of Compliance Control Division (Special Assignment), General Manager of Retail & Corporate Business Inspection and Monitoring Division	(Executive Officer, Supervisory Executive of Financial Crimes Division, Supervisory Executive of Compliance Control Division, Retail & Corporate Business Inspection and Monitoring Division (Special Assignment))	Noriyuki Miyata