Changes of Executives

 $Mitsubishi\ UFJ\ Morgan\ Stanley\ Securities\ Co.,\ Ltd.\ hereby\ announces\ the\ following\ changes\ of\ Executives.$

1. Changes of Executives

(as of April 1, 2022)

New Position	Current Position	Name
ablaRetiring	(Member of the Board of Directors, Deputy President, CFO (General Managing Officer of Financial Planning Division))	Akio Ninomiya
$\ensuremath{\ensuremath{\%}}$ to be appointed as Councilor of Mitsubishi UFJ Securities Holdings Co., Ltd	l.)	
ablaRetiring	(Executive Officer, General Manager of Financial Planning Division)	Hiroyuki Ogata
* to continue as Executive Officer of Mitsubishi UFJ Securities Holdings Co.,	Ltd.	
▽Deputy Chairman, Resident Executive (Western Region of Japan)	_	Muneya Taniguchi
∇Deputy President, Head of Corporate & Institutional Business Unit	_	Masahiro Kuwahara
 ¬Member of the Board of Directors, Managing Executive Officer, CSO (General Managing Officer of Corporate Planning Division, Corporate Communications Division), CHRO (General Managing Officer of Human Resources Division), General Managing Officer of General Affairs Division 	(Managing Executive Officer, CHRO (General Managing Officer of Human Resources Division), General Managing Officer of General Affairs Division)	Kenji Horikawa
∇Member of the Board of Directors, Managing Executive Officer, CFO (General Managing Officer of Financial Planning Division), Deputy CSO (Deputy General Managing Officer of Corporate Planning Division, Corporate Communications Division)	(Executive Officer, General Manager of Corporate Planning Division)	Shinjiro Yamamoto
VManaging Executive Officer, Supervisory Executive of Corporate Clients Division I, Corporate Clients Division II, Corporate Clients Division III, Supervisory Executive of Corporate Clients Sales Division (Special Assignment)	(Executive Officer, Deputy Supervisory Executive of Corporate Clients Division I, Corporate Clients Division II, Corporate Clients Division III)	Seishi Watanabe
$\label{eq:managing} $$ \overline{\ \ }$ Managing Executive Officer, $$ Supervisory Executive of Wholesale Coverage Division, Kyoto Corporate Clients Division $$$	(Managing Executive Officer, Supervisory Executive of Corporate Clients Division I, Corporate Clients Division II, Corporate Clients Division III, Kyoto Corporate Clients Division, Supervisory Executive of Corporate Clients Sales Division (Special Assignment))	Hiroyuki Oda
▽ Managing Executive Officer, Supervisory Executive of PB Group, Head of Kyushu Private Banking Group, General Manager of Private Banking Management Division	(Managing Executive Officer, Supervisory Executive of PB Group, Retail & Corporate Risk Management Division, Head of Kyushu Private Banking Group, General Manager of Private Banking Management Division)	Koji Nakajima
abla Managing Executive Officer, CIO (General Managing Officer of Systems Division)	(Executive Officer, CIO (General Managing Officer of Systems Division))	Kazunori Yamagata
▽Executive Officer, Supervisory Executive of Direct Business Administration Division, MUFG Terrace, Direct Consulting Division I, Direct Consulting Division II, Tokyo Telephone & Online Trade Division, Osaka Telephone & Online Trade Division	(Executive Officer, Supervisory Executive of Financial Crimes Division, Supervisory Executive of Compliance Control Division (Special Assignment), General Manager of Retail & Corporate Business Inspection and Monitoring Division)	Noriyuki Miyata
▽Executive Officer, Head of Capital Markets Group	(Executive Officer, Deputy Head of Investment Banking Business Unit, Head of Corporate Finance Group)	Hajime Suwa

▽Executive Officer, General Manager of Corporate Communications Division	_	Saiko Nanri
▽Executive Officer, General Manager of Human Resources Division	(Executive Officer, Supervisory Executive of Omni Channel Planning Division, Direct Business Administration Division, MUFG Terrace, Direct Consulting Division I, Direct Consulting Division II, Tokyo Telephone & Online Trade Division, Osaka Telephone & Online Trade Division)	Takahiro Ibe
▽Executive Officer, General Manager of Corporate Planning Division	(Executive Officer, General Manager of Corporate Communications Division)	Shiro Honjo
▽Executive Officer, In charge of Planning, Wealth & Middle Market Business Unit (Supervisory Executive of Business Planning Division, Remote Planning Division, Retail & Corporate Risk Management Division)	(Executive Officer, General Manager of Business Planning Division)	Hideto Ito
▽Executive Officer, General Manager of Corporate & Institutional Business Planning Division	_	Kaoru Kobayashi
▽Councilor, Chairman of Capital Markets Group	(Executive Officer, Co-Head of Capital Markets Group)	Masami Yabumoto
▽Councilor, Deputy General Manager of Compliance Control Division	(Executive Officer, Co-Head of Capital Markets Group)	Haruhiro Ikezaki
(as of June 27, 2022)		
New Position	Current Position	Name
▽Executive Officer, Supervisory Executive of Financial Crimes Division, General Manager of Reta & Corporate Business Inspection and Monitoring Division	(General Manager of Retail & Corporate Business Inspection and Monitoring Division, Deputy General Manager of Financial Crimes Division)	Yasuhiro Morishita
▽Executive Officer, Deputy Head of Investment Banking Business Unit, Head of Corporate Finance Group	(Deputy Head of Investment Banking Business Unit, Head of Corporate Finance Group)	Shunsuke Yatani